

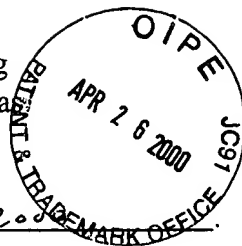
DEP & REF

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Washington, D.C. 20231 on

April 21, 2000



Patent Application

Docket No. CTI-103

Serial No. 09/518,650

James S. Parker, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Dale C. McCarthy
Serial No. : 09/518,650
Filed : March 3, 2000
For : ELECTRICAL CONNECTOR APPARATUS AND METHOD

Assistant Commissioner for Patents
Washington, D.C. 20231

NOTICE OF CHANGE OF SMALL ENTITY STATUS
AND REQUEST FOR REFUND

Sir:

The applicants in the above-identified application are entitled to claim small entity status. Transmitted herewith is a fully executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(c)).-Small Business Concern for the above-identified patent application. The executed Declaration Claiming Small Entity Status is being filed within two months of the filing date of the application as required by 37 CFR § 1.28.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 19-0065.

Respectfully submitted,

James S. Parker
Patent Attorney

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Attachments: executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(c)) - Small Business Concern

Applicant or Patentee: Dale C. McCarthy Attorney's
Serial No.: _____ Docket No. CTI-103
Filed: Filed March 3, 2000
For: Electrical Connector Apparatus and Method



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Centerpin Technology, Inc.
ADDRESS OF CONCERN 1101 Gulf Breeze Parkway, Suite 315
Gulf Breeze, FL 32561

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

Electrical Connector Apparatus and Method

by inventor(s) Dale C. McCarthy

described in:

- ☐ the specification filed herewith
☒ application serial no. 09/518,650, filed March 3, 2000
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change of status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Rip Hanks
TITLE OF PERSON OTHER THAN OWNER President/CEO
ADDRESS OF PERSON SIGNING 1101 Gulf Breeze Parkway, Suite 315 Gulf Breeze, FL 32561
SIGNATURE [Signature] DATE 3/15/00